

<b>Notice of Allowability</b>	<b>Application No.</b>	<b>Applicant(s)</b>	
	09/272,471	RISTANOVIC ET AL.	
	Examiner Igor N. Borissov	Art Unit 3628	

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address--

All claims being allowable, PROSECUTION ON THE MERITS IS (OR REMAINS) CLOSED in this application. If not included herewith (or previously mailed), a Notice of Allowance (PTOL-85) or other appropriate communication will be mailed in due course. **THIS NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT RIGHTS.** This application is subject to withdrawal from issue at the initiative of the Office or upon petition by the applicant. See 37 CFR 1.313 and MPEP 1308.

1.  This communication is responsive to Amendment After Final of 01/08/2007.
2.  The allowed claim(s) is/are 17-36.
3.  Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
  - a)  All
  - b)  Some\*
  - c)  None
  1.  Certified copies of the priority documents have been received.
  2.  Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
  3.  Copies of the certified copies of the priority documents have been received in this national stage application from the International Bureau (PCT Rule 17.2(a)).

\* Certified copies not received: \_\_\_\_\_.

Applicant has THREE MONTHS FROM THE "MAILING DATE" of this communication to file a reply complying with the requirements noted below. Failure to timely comply will result in ABANDONMENT of this application.  
**THIS THREE-MONTH PERIOD IS NOT EXTENDABLE.**

4.  A SUBSTITUTE OATH OR DECLARATION must be submitted. Note the attached EXAMINER'S AMENDMENT or NOTICE OF INFORMAL PATENT APPLICATION (PTO-152) which gives reason(s) why the oath or declaration is deficient.
5.  CORRECTED DRAWINGS ( as "replacement sheets") must be submitted.
  - (a)  including changes required by the Notice of Draftsperson's Patent Drawing Review ( PTO-948) attached
    - 1)  hereto or 2)  to Paper No./Mail Date \_\_\_\_\_.
  - (b)  including changes required by the attached Examiner's Amendment / Comment or in the Office action of Paper No./Mail Date \_\_\_\_\_.

Identifying indicia such as the application number (see 37 CFR 1.84(c)) should be written on the drawings in the front (not the back) of each sheet. Replacement sheet(s) should be labeled as such in the header according to 37 CFR 1.121(d).
6.  DEPOSIT OF and/or INFORMATION about the deposit of BIOLOGICAL MATERIAL must be submitted. Note the attached Examiner's comment regarding REQUIREMENT FOR THE DEPOSIT OF BIOLOGICAL MATERIAL.

**Attachment(s)**

1.  Notice of References Cited (PTO-892)
2.  Notice of Draftsperson's Patent Drawing Review ( PTO-948)
3.  Information Disclosure Statements (PTO/SB/08),  
Paper No./Mail Date \_\_\_\_\_
4.  Examiner's Comment Regarding Requirement for Deposit  
of Biological Material
5.  Notice of Informal Patent Application
6.  Interview Summary (PTO-413),  
Paper No./Mail Date \_\_\_\_\_
7.  Examiner's Amendment/Comment
8.  Examiner's Statement of Reasons for Allowance
9.  Other \_\_\_\_\_.

## DETAILED ACTION

### ***Response to Amendment***

Amendment received on 01/08/2007 is acknowledged and entered. Claims 1-16 have previously been canceled. Claims 17, 21, 23, 24 and 30-32 have been amended. Claims 17-36 are currently pending in the application.

Claim Objections have been withdrawn due to the applicant's amendment.

### ***Allowable Subject Matter***

Claims 17-36 are allowed.

The following is an examiner's statement of reasons for allowance:

As per independent claims 17 and 30, the best prior art, Johnson et al. (US 6,047,274) teaches a system for energy supply bidding, comprising: Moderator computer (a market interface) for exchanging market information between energy providers (bidders) and end users; a provider's energy delivery scheduling system for scheduling generation and delivery of energy from a selected (winner) energy provider to the end users in accordance with the submitted offers (market information) and in accordance with information relating to the energy generation and delivery system, wherein the selected (winner) energy provider is responsible to schedule the delivery of energy, wherein the rules of the bidding process specify a contingency condition, and provide a security analysis function.

However, Johnson et al. fails to teach or suggest that said security analysis function analyzes the energy generation and delivery system with a plurality of energy generation units selected as a result of an auction.

As per independent claim 21, the best prior art, Johnson et al. in view of Takriti (US 6,021,402) teaches a system for energy supply bidding, comprising: Moderator computer (a market interface) for exchanging market information between energy providers (bidders) and end users; a database including a model of a transmission network; a provider's energy delivery scheduling system for scheduling generation and delivery of energy from a selected (winner) energy provider to the end users in accordance with the submitted offers (market information) and in accordance with information relating to the energy generation and delivery system, wherein the selected (winner) energy provider is responsible to schedule the delivery of energy, wherein the rules of the bidding process specify a contingency condition, and provide a security analysis function.

However, Johnson et al. and Takriti fails to teach or suggest that said security analysis function analyzes the energy generation and delivery system with a plurality of energy generation units selected as a result of an auction.

The best NPL prior art, Demand Forecasting (the Internet printout), while teaching modeling electricity demand under various conditions, fails to teach or suggest that said security analysis function analyzes the energy generation and delivery system with a plurality of energy generation units selected as a result of an auction.

The best foreign art, Sawaguchi et al. (JP 406078459A), appears to disclose a demand control system for a power generation plant. However, Sawaguchi et all. fails to teach or suggest that said security analysis function analyzes the energy generation and delivery system with a plurality of energy generation units selected as a result of an auction.

The remaining dependent claims are considered allowable, as they are dependent and based off of an allowable independent claims.

Any comments considered necessary by applicant must be submitted no later than the payment of the issue fee and, to avoid processing delays, should preferably accompany the issue fee. Such submission should be clearly labeled "Comments on Statement of Reason for Allowance".

***Conclusion***

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Igor Borissov whose telephone number is 571-272-6801. If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, John W. Hayes can be reached on 571-272-6708. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

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01/21/2007



IGOR N. BORISSOV  
PRIMARY EXAMINER